

## Integrated Impact Assessment Report for Clinical Commissioning Policies

<b>Policy Reference Number</b>	1770		
<b>Policy Title</b>	Percutaneous patent foramen ovale closure for the prevention of recurrent cerebral embolic stroke Proposal <b><u>for routine commission</u></b>		
<b>Lead Commissioner</b>	Carrie Gardner	<b>Clinical Lead</b>	Mark Turner
<b>Finance Lead</b>	Craig Charlton	<b>Analytical Lead</b>	Craig Charlton

### Integrated Impact Assessment – Index

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### About this Impact Assessment: instructions for completion and explanatory notes

- Each section is divided into themes.
- Each theme sets out a number of questions.
- All questions are answered by selecting a drop down option or including free text.
- Free text boxes are provided to enable succinct relevant commentary to be added which explains the rationale for response or assumption. Please limit responses to 3 sentences of explanatory text.
- Data in this document is either drawn from one of the relevant policy documents or a source for the information is provided.
- Where assumptions are included where data is not available, this is specified.

## Section A - Activity Impact

### A1 Current Patient Population & Demography / Growth

<p>A1.1 Prevalence of the disease/condition.</p>	<p>Approximately 25% of people have a foramen ovale which remains fully or partially open into adulthood (NICE IPG 472). In the UK, there are over 100,000 strokes each year, of which 85% are ischaemic (Stroke Association 2018). PFO is the most common association (40-50%) of cryptogenic stroke in patients younger than 55 years (Gonzalez-Alujas et al 2011, Tobis et al 2005). The lack of patient modifiable risk factors for cryptogenic stroke leads clinicians and patients to seek to modify risk factors such as PFO in order to reduce the risk of recurrence, in particular for patients who are unable to reduce their overall risk of stroke themselves (Li et al 2015).</p> <p><i>Source: NICE IPG 472, Gonzalez-Alujas et al 2011, Tobis et al 2005 and Li et al 2015.</i></p>
<p>A1.2 Number of patients currently eligible for the treatment according to the proposed policy commissioning criteria.</p>	<p>1500. Phasing is 800 patients in year 1 rising to 1500 in year 5 <i>plus ONS growth</i></p> <p><i>Source: Epidemiology and clinical experience of the procedure PRIOR to and during CtE.</i></p>
<p>A1.3 Age group for which the treatment is proposed according to the policy commissioning criteria.</p>	<p><b><u>Adults</u></b> 18 years and over</p>
<p>A1.4 Age distribution of the patient population eligible according to the proposed policy commissioning criteria</p>	<p>Patients between 18 and 60 years old</p> <p><i>Source:</i></p> <p>No trials involved patients above the age of 60. There is no persuasive body of evidence to support the use of PFO closure in patients with cryptogenic stroke over the age of 60 and indeed as this age range is reached the probability that stroke is due to occult / non-stenotic atheroma or AF rises substantially.</p>

A1.5 How is the population currently distributed geographically?

**Evenly**

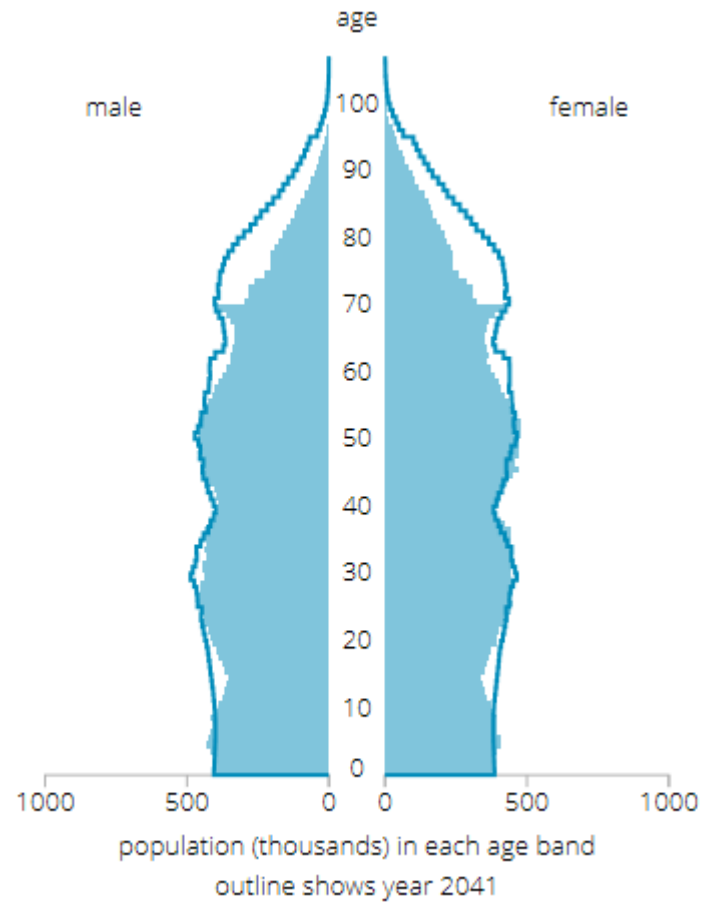
If unevenly, estimate regional distribution by %:

North	
Midlands & East	
London	
South	

*Source: The 2016 ONS population figures continue to show that there continues to be an increase in the aging population:*

Please specify

The population of England is projected to grow by 4.1 million (7.5%) by mid-2024. The projected growth varies considerably by different age groups. The fastest-growing age group (people aged 65 and over) is projected to grow by 20.4% over 10 years and by nearly 60% over 25 years in England. However, although growth will be seen in the groups between 18 and 60 (the groups covered by this policy proposition) they are not projected to grow as quickly. Therefore, although there will be growth in absolute terms, the share of this age group of the total population is not projected to increase as significantly.



Source: Office for National Statistics National Population Projections: 2016-based statistical bulletin 2017

**A2 Future Patient Population & Demography**

<p>A2.1 Projected changes in the disease/condition epidemiology, such as incidence or prevalence (prior to applying the new policy) in 2, 5, and 10 years?</p>	<p><b><u>Increasing</u></b>  <i>Source: The 2016 ONS population figures continue to show that there continues to be an overall increase in the population.</i></p>											
<p>A2.2 Are there likely to be changes in demography of the patient population and would this impact on activity/outcomes?</p>	<p><b><u>No</u></b></p>											
<p>A2.3 Expected net increase or decrease in the number of patients who will be eligible for the service, according to the proposed service specification commissioning criteria, per year in years 2-5 and 10?</p> <p>Are these numbers in line with ONS growth assumptions for the age specific population? If not please justify the growth assumptions made.</p>	<table border="1"> <tr> <td>YR2 +/-</td> <td>11</td> </tr> <tr> <td>YR3 +/-</td> <td>14</td> </tr> <tr> <td>YR4 +/-</td> <td>15</td> </tr> <tr> <td>YR5 +/-</td> <td>17</td> </tr> <tr> <td></td> <td></td> </tr> </table>	YR2 +/-	11	YR3 +/-	14	YR4 +/-	15	YR5 +/-	17			<p><i>Source: Policy proposition and financial costing model</i></p> <p><b><u>Yes</u></b>  There will be a phasing of actual patients treated in years 1-3 due to the building of capacity to deliver the service and increasing awareness and education of health professionals in primary and secondary care of access to the service, eligibility criteria and clinical benefits in stroke prevention. Year 1 is assumed to be limited to 800 patients, year 2 1,100 and then 1,500 plus growth from Year 3 onwards in line with ONS population growth.</p>
YR2 +/-	11											
YR3 +/-	14											
YR4 +/-	15											
YR5 +/-	17											
<p><b>A3 Activity</b></p>												
<p>A3.1 What is the purpose of new policy?</p>	<p><b><u>Revise existing policy (expand or restrict an existing treatment threshold)</u></b></p>											

	<p>Please specify  NHSCB/A09/PS/a Patent Foramen Ovale (PFO) Closure  Dated April 2013. The aim is to reduce the risk of recurrent cerebral embolic stroke.</p>																
<p>A3.2 What is the annual activity associated with the existing pathway for the eligible population?</p>	<p>None  Please specify  Procedure is not currently routinely commissioned</p>																
<p>A3.3 What is the estimated annual activity associated with the proposed policy proposition pathway for the eligible population?</p>	<p>It is estimated that each patient will require the activity in the table below with the number of patients being 800 in year 1 rising to 1529 in year 5</p> <table border="1" data-bbox="936 544 1805 879"> <thead> <tr> <th data-bbox="936 544 1648 587">Activity</th> <th data-bbox="1648 544 1805 587">Count</th> </tr> </thead> <tbody> <tr> <td data-bbox="936 587 1648 630">TBC</td> <td data-bbox="1648 587 1805 630">TBC</td> </tr> <tr> <td data-bbox="936 630 1648 673"></td> <td data-bbox="1648 630 1805 673"></td> </tr> <tr> <td data-bbox="936 673 1648 716"></td> <td data-bbox="1648 673 1805 716"></td> </tr> <tr> <td data-bbox="936 716 1648 759"></td> <td data-bbox="1648 716 1805 759"></td> </tr> <tr> <td data-bbox="936 759 1648 802"></td> <td data-bbox="1648 759 1805 802"></td> </tr> <tr> <td data-bbox="936 802 1648 845"></td> <td data-bbox="1648 802 1805 845"></td> </tr> <tr> <td data-bbox="936 845 1648 879"></td> <td data-bbox="1648 845 1805 879"></td> </tr> </tbody> </table>	Activity	Count	TBC	TBC												
Activity	Count																
TBC	TBC																
<p>A3.4 What is the estimated annual activity associated with the next best alternative comparator pathway for the eligible population? If the only alternative is the existing pathway, please state 'not applicable' and move to A4.</p>	<p>Not applicable</p>																
<p><b>A4 Existing Patient Pathway</b></p>																	

<p><b>A4.1 Existing pathway:</b> Describe the relevant currently routinely commissioned:</p> <ul style="list-style-type: none"> <li>• Treatment or intervention</li> <li>• Patient pathway</li> <li>• Eligibility and/or uptake estimates.</li> </ul>	<p>Current treatments include anti-platelet or, in some cases, anti-coagulation medication. Surgical PFO closure in isolation is not considered clinically appropriate, although it can be undertaken as an addition to other open heart surgery.</p>
<p>A4.2. What are the current treatment access and stopping criteria?</p>	<p>Not applicable</p>
<p>A4.3 What percentage of the total eligible population is expected to:</p> <ol style="list-style-type: none"> <li>a) Be clinically assessed for treatment</li> <li>b) Be considered to meet an exclusion criteria following assessment</li> <li>c) Choose to initiate treatment</li> <li>d) Comply with treatment</li> <li>e) Complete treatment?</li> </ol>	<p>Not applicable</p>
<p><b>A5 Comparator (next best alternative treatment) Patient Pathway</b>  (NB: comparator/next best alternative does not refer to current pathway but to an alternative option)</p>	
<p><b>A5.1 Next best comparator:</b>  Is there another 'next best' alternative treatment which is a relevant comparator?  <i>If yes, describe relevant</i></p> <ul style="list-style-type: none"> <li>• <i>Treatment or intervention</i></li> <li>• <i>Patient pathway</i></li> <li>• <i>Actual or estimated eligibility and uptake</i></li> </ul>	<p><b><u>No</u></b></p>



<p>A5.2 What percentage of the total eligible population is estimated to:</p> <ul style="list-style-type: none"> <li>a) Be clinically assessed for treatment</li> <li>b) Be considered to meet an exclusion criteria following assessment</li> <li>c) Choose to initiate treatment</li> <li>d) Comply with treatment</li> <li>e) Complete treatment?</li> </ul>	<p>Not applicable</p>
<p><b>A6 New Patient Pathway</b></p>	
<p>A6.1 What percentage of the total eligible population is expected to:</p> <ul style="list-style-type: none"> <li>a) Be clinically assessed for treatment</li> <li>b) Be considered to meet an exclusion criteria following assessment</li> <li>c) Choose to initiate treatment</li> <li>d) Comply with treatment</li> <li>e) Complete treatment?</li> </ul>	<p>If not known, please specify</p> <ul style="list-style-type: none"> <li>a) 15 %</li> <li>b) 6%</li> <li>c) 6%</li> <li>d) 6%</li> <li>e) 6%</li> </ul> <p>If total eligible population is patients with a previous stroke: 15% of strokes are cryptogenic and 40% of these will be found to have a PFO (6%)</p>
<p>A6.2 Specify the nature and duration of the proposed new treatment or intervention.</p>	<p><b><u>Time limited</u></b></p> <p>For time limited treatments, specify frequency and/or duration.</p> <p>The procedure is usually carried out under local/general anaesthetic with the use of transoesophageal or intracardiac echocardiographic and fluoroscopy guidance. It requires placement of a catheter in the right femoral vein so that the device can be passed to the heart. It is then positioned across the PFO and deployed so that both ends of the channel are blocked. The duration of the procedure is approximately one hour. Transthoracic echocardiography should be performed prior to patient</p>

discharge to exclude any complications of the procedure. Anti-platelet, or in some patient's anti-coagulant drugs will usually be continued for 6 months post procedure and long term aspirin is often indicated.

**A7 Treatment Setting**

A7.1 How is this treatment delivered to the patient?

*Select all that apply:*

Emergency/Urgent care attendance	<input type="checkbox"/>
Acute Trust: inpatient	<input checked="" type="checkbox"/>
Acute Trust: day patient	<input checked="" type="checkbox"/>
Acute Trust: outpatient	<input checked="" type="checkbox"/>
Mental Health provider: inpatient	<input type="checkbox"/>
Mental Health provider: outpatient	<input type="checkbox"/>
Community setting	<input type="checkbox"/>
Homecare	<input type="checkbox"/>
Other	<input type="checkbox"/>

A7.2 What is the current number of contracted providers for the eligible population by region?

NORTH	0
MIDLANDS & EAST	0
LONDON	0
SOUTH	0

The are no commissioned providers of the service

A7.3 Does the proposition require a change of delivery setting or capacity requirements?	<p><b>yes</b> Please specify: A national procurement intervention will be required to commission specialised/ tertiary cardiac centres to deliver the service.</p>
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**A8 Coding**

<p>A8.1 Specify the datasets used to record the new patient pathway activity.</p> <p>*expected to be populated for all commissioned activity</p>	<p><i>Select all that apply:</i></p> <table border="1" data-bbox="931 568 1693 1161"> <tr> <td>Aggregate Contract Monitoring *</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Patient level contract monitoring</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Patient level drugs dataset</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Patient level devices dataset</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Devices supply chain reconciliation dataset</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Secondary Usage Service (SUS+)</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Mental Health Services DataSet (MHSDS)</td> <td><input type="checkbox"/></td> </tr> <tr> <td>National Return**</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Clinical Database**</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Other**</td> <td><input type="checkbox"/></td> </tr> </table> <p>**If National Return, Clinical database or other selected, please specify: Funding of £100k per year for a National Registry has been included in the Impact Assessment and finance model.</p>	Aggregate Contract Monitoring *	<input checked="" type="checkbox"/>	Patient level contract monitoring	<input checked="" type="checkbox"/>	Patient level drugs dataset	<input type="checkbox"/>	Patient level devices dataset	<input checked="" type="checkbox"/>	Devices supply chain reconciliation dataset	<input checked="" type="checkbox"/>	Secondary Usage Service (SUS+)	<input checked="" type="checkbox"/>	Mental Health Services DataSet (MHSDS)	<input type="checkbox"/>	National Return**	<input type="checkbox"/>	Clinical Database**	<input checked="" type="checkbox"/>	Other**	<input type="checkbox"/>
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Clinical Database**	<input checked="" type="checkbox"/>																				
Other**	<input type="checkbox"/>																				

<p>A8.2 Specify how the activity related to the new patient pathway will be identified.</p>	<p><i>Select all that apply:</i></p> <table border="1"> <tr> <td data-bbox="931 153 1594 212">OPCS v4.8</td> <td data-bbox="1594 153 1693 212"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="931 212 1594 271">ICD10</td> <td data-bbox="1594 212 1693 271"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="931 271 1594 330">Treatment function code</td> <td data-bbox="1594 271 1693 330"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="931 330 1594 389">Main Speciality code</td> <td data-bbox="1594 330 1693 389"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="931 389 1594 448">HRG</td> <td data-bbox="1594 389 1693 448"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="931 448 1594 507">SNOMED</td> <td data-bbox="1594 448 1693 507"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="931 507 1594 596">Clinical coding / terming methodology used by clinical profession</td> <td data-bbox="1594 507 1693 596"><input type="checkbox"/></td> </tr> </table>	OPCS v4.8	<input checked="" type="checkbox"/>	ICD10	<input checked="" type="checkbox"/>	Treatment function code	<input checked="" type="checkbox"/>	Main Speciality code	<input type="checkbox"/>	HRG	<input checked="" type="checkbox"/>	SNOMED	<input type="checkbox"/>	Clinical coding / terming methodology used by clinical profession	<input type="checkbox"/>
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SNOMED	<input type="checkbox"/>														
Clinical coding / terming methodology used by clinical profession	<input type="checkbox"/>														
<p><b>A8.3 Identification Rules for Drugs:</b> How are drug costs captured?</p>	<p><b><u>Not applicable</u></b></p> <p>If the drug has already been specified in the current NHS England Drug List please specify drug name and drug indication:</p> <p>If the drug has NOT already been specified in the current NHS England Drug List please give details of action required and confirm that this has been discussed with the pharmacy lead:</p>														
<p><b>A8.4 Identification Rules for Devices:</b> How are device costs captured?</p>	<p><b><u>Already covered by an existing category of HCTED but not commissioned via the Zero Cost Model</u></b></p> <p>If the device is covered by an existing category of HCTED please specify the Device Category (as per the National Tariff Payment System Guidance).</p> <p>Occluder Vascular Appendage and septal devices</p>														

<p><b>A8.5 Identification Rules for Activity:</b> How are activity costs captured?</p>	<p><b><u>Already correctly captured by an existing specialised service line (NCBPS code within the PSS Tool)</u></b></p> <p>If activity costs are already captured please specify the specialised service code and description (e.g. NCBPS01C Chemotherapy). NCBPS13X - Congenital Heart Disease (Adults)</p> <p>If activity costs are already captured please specify whether this service needs a separate code. <b><u>No</u></b></p> <p>If the activity is captured but the service line needs amendment please specify whether the proposed amendments have been documented and agreed with the Identification Rules team. No</p> <p>If the activity is not captured please specify whether the proposed identification rules have been documented and agreed with the Identification Rules team. <b><u>No</u></b></p>
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**A9 Monitoring**

<p><b>A9.1 Contracts</b> Specify any new or revised data flow or data collection requirements, needed for inclusion in the NHS Standard Contract Information Schedule.</p>	<p><b><u>None</u></b></p>
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<p><b>A9.2 Excluded Drugs and Devices (not covered by the Zero Cost Model)</b> For treatments which are tariff excluded drugs or devices not covered by the Zero Cost Model, specify the pharmacy or device monitoring required, for example reporting or use of prior approval systems.</p>	<p><i>Select all that apply:</i></p> <table border="1"> <tr> <td data-bbox="931 1174 1352 1235">Drugs or Device MDS</td> <td data-bbox="1352 1174 1440 1235"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="931 1235 1352 1295">Blueteq</td> <td data-bbox="1352 1235 1440 1295"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="931 1295 1352 1356">Other prior approval</td> <td data-bbox="1352 1295 1440 1356"><input type="checkbox"/></td> </tr> </table>	Drugs or Device MDS	<input checked="" type="checkbox"/>	Blueteq	<input checked="" type="checkbox"/>	Other prior approval	<input type="checkbox"/>
Drugs or Device MDS	<input checked="" type="checkbox"/>						
Blueteq	<input checked="" type="checkbox"/>						
Other prior approval	<input type="checkbox"/>						

<b>A9.3 Business intelligence</b> Is there potential for duplicate reporting?	<b><u>No</u></b>
<b>A9.4 Contract monitoring</b> Is this part of routine contract monitoring?	<b><u>Yes</u></b> If yes, please specify contract monitoring requirement: Activity will be reported in line with Schedule 6 of the NHS Standard Contract
<b>A9.5 Dashboard reporting</b> Specify whether a dashboard exists for the proposed intervention?	<b><u>No</u></b> If yes, specify how routine performance monitoring data will be used for dashboard reporting. If no, will one be developed? Data will be collected through a National Registry
<b>A9.6 NICE reporting</b> Are there any directly applicable NICE or equivalent quality standards which need to be monitored in association with the new policy?	<b><u>No</u></b>
<b>Section B - Service Impact</b>	
<b>B1 Service Organisation</b>	
<b>B1.1 Describe how the service is currently organised?</b> (i.e. tertiary centres, networked provision etc.)	The service is not routinely commissioned <i>Source:</i> Clinical Commissioning Policy Statement: Patent Foramen Ovale (PFO) Closure April 2013 Reference: NHSCB/A09/PS/a

B1.2 Will the proposition change the way the commissioned service is organised?	<p><b><u>Yes</u></b>  Please specify:  Providers will be selected to provide the service when commissioned.</p>								
B1.3 Will the proposition require a new approach to the organisation of care?	<p><b><u>No change to delivery of care</u></b>  Please specify:  Service delivery will be through current specialised cardiac interventional services. A lead in period will be required to build capacity to deliver the service and increase awareness and education of health professionals in primary and secondary care.</p>								
<b>B2 Geography &amp; Access</b>									
B2.1 Where do current referrals come from?	<p><i>Select all that apply:</i></p> <table border="1" data-bbox="931 775 1442 1011"> <tr> <td>GP</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Secondary care</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Tertiary care</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Other</td> <td><input type="checkbox"/></td> </tr> </table>	GP	<input type="checkbox"/>	Secondary care	<input checked="" type="checkbox"/>	Tertiary care	<input checked="" type="checkbox"/>	Other	<input type="checkbox"/>
GP	<input type="checkbox"/>								
Secondary care	<input checked="" type="checkbox"/>								
Tertiary care	<input checked="" type="checkbox"/>								
Other	<input type="checkbox"/>								
B2.2 What impact will the new policy have on the sources of referral?	<p><b><u>No impact</u></b></p>								
B2.3 Is the new policy likely to improve equity of access?	<p><b><u>No impact</u></b>   <i>Source: Equalities Impact Assessment</i></p>								

<p>B2.4 Is the new policy likely to improve equality of access and/or outcomes?</p>	<p><b><u>No impact</u></b>  Please specify:  The new policy is likely to provide recurrent stroke prevention to patients with a clinically significant PFO who are at high risk of recurrent stroke.  <i>Source: NHSCB/A09/PS/a Clinical Commissioning Policy Statement: Patent Foramen Ovale (PFO) Closure</i>  <i>Dated April 2013</i></p>
<p><b>B3 Implementation</b></p>	
<p>B3.1 Will commissioning or provider action be required before implementation of the proposition can occur?</p>	<p><b><u>Procurement action</u></b>  Please specify:  There are currently no commissioned providers; a national procurement intervention will be required to commission centres to deliver the service.</p>
<p><b>B3.2 Time to implementation:</b>  Is a lead-in time required prior to implementation?</p>	<p><b><u>Yes - go to B3.3</u></b>  If yes, specify the likely time to implementation: 12 months</p>
<p><b>B3.3 Time to implementation:</b>  If lead-in time is required prior to implementation, will an interim plan for implementation be required?</p>	<p><b><u>Yes</u></b>  If yes, outline the plan:  An interim plan will be required. The criteria for this will need to be decided but may follow the example of LAAO and utilise the centres which were involved in the CtE programme.</p>
<p>B3.4 Is a change in provider physical infrastructure required?</p>	<p><b><u>No</u></b>  Please specify:  The procedure can be delivered within current tertiary and specialised cardiac facilities</p>



B3.5 Is a change in provider staffing required?	<p><b><u>Yes</u></b> Please specify: There may be a requirement to increase staff to deliver the new procedure</p>																								
B3.6 Are there new clinical dependency and/or adjacency requirements that would need to be in place?	<p><b><u>No</u></b> Please specify: All the specialities within a tertiary cardiac and cardiothoracic centre in line with current specialised cardiology service specifications.</p>																								
B3.7 Are there changes in the support services that need to be in place?	<p><b><u>No</u></b></p>																								
B3.8 Is there a change in provider and/or inter-provider governance required? (e.g. ODN arrangements / prime contractor)	<p><b><u>No</u></b> Please specify: Services are not currently commissioned</p>																								
B3.9 Is there likely to be either an increase or decrease in the number of commissioned providers? If yes, specify the current and estimated number of providers required in each region	<p><b><u>Increase</u></b> <i>Please complete table:</i></p> <table border="1" data-bbox="931 919 1861 1366"> <thead> <tr> <th>Region</th> <th>Current no. of providers</th> <th>Future State expected range</th> <th>Provisional or confirmed</th> </tr> </thead> <tbody> <tr> <td>North</td> <td>0</td> <td>5</td> <td><u>P</u></td> </tr> <tr> <td>Midlands &amp; East</td> <td>0</td> <td>5</td> <td><u>P</u></td> </tr> <tr> <td>London</td> <td>0</td> <td>5</td> <td><u>P</u></td> </tr> <tr> <td>South</td> <td>0</td> <td>5</td> <td><u>P</u></td> </tr> <tr> <td>Total</td> <td>0</td> <td>20</td> <td><u>P</u></td> </tr> </tbody> </table>	Region	Current no. of providers	Future State expected range	Provisional or confirmed	North	0	5	<u>P</u>	Midlands & East	0	5	<u>P</u>	London	0	5	<u>P</u>	South	0	5	<u>P</u>	Total	0	20	<u>P</u>
Region	Current no. of providers	Future State expected range	Provisional or confirmed																						
North	0	5	<u>P</u>																						
Midlands & East	0	5	<u>P</u>																						
London	0	5	<u>P</u>																						
South	0	5	<u>P</u>																						
Total	0	20	<u>P</u>																						

	<p>Please specify: The intervention is not routinely commissioned but future locations are likely to be selected from current specialised tertiary cardiac centres who have experience of both providing the procedure and the service.</p>																
<p>B3.10 Specify how revised provision will be secured by NHS England as the responsible commissioner.</p>	<p><i>Select all that apply:</i></p> <table border="1" data-bbox="931 363 1845 903"> <tr> <td>Publication and notification of new policy</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Market intervention required</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Competitive selection process to secure increase or decrease provider configuration</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Price-based selection process to maximise cost effectiveness</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Any qualified provider</td> <td><input type="checkbox"/></td> </tr> <tr> <td>National Commercial Agreements e.g. drugs, devices</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Procurement</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Other</td> <td><input type="checkbox"/></td> </tr> </table> <p>Please specify: Future provision will be secured through procurement. The device will need to be included in the HCTED programme.</p>	Publication and notification of new policy	<input checked="" type="checkbox"/>	Market intervention required	<input checked="" type="checkbox"/>	Competitive selection process to secure increase or decrease provider configuration	<input type="checkbox"/>	Price-based selection process to maximise cost effectiveness	<input type="checkbox"/>	Any qualified provider	<input type="checkbox"/>	National Commercial Agreements e.g. drugs, devices	<input checked="" type="checkbox"/>	Procurement	<input checked="" type="checkbox"/>	Other	<input type="checkbox"/>
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Procurement	<input checked="" type="checkbox"/>																
Other	<input type="checkbox"/>																
<p><b>B4 Place-based Commissioning</b></p>																	
<p>B4.1 Is this service currently subject to, or planned for, place-based commissioning arrangements? (e.g. future CCG lead, devolved commissioning arrangements, STPs)</p>	<p><b><u>No</u></b></p>																

## Section C - Finance Impact

### C1 Tariff/Pricing

C1.1 How is the service contracted and/or charged?  
Only specify for the relevant section of the patient pathway

*Select all that apply:*

<b>Drugs</b>	Not separately charged – part of local or national tariffs	<input type="checkbox"/>
	Excluded from tariff – pass through	<input type="checkbox"/>
	Excluded from tariff - other	<input type="checkbox"/>
<b>Devices</b>	Not separately charged – part of local or national tariffs	<input type="checkbox"/>
	Excluded from tariff (excluding ZCM) – pass through	<input checked="" type="checkbox"/>
	Excluded from tariff (excluding ZCM) – other	<input type="checkbox"/>
	Via Zero Cost Model	<input type="checkbox"/>
<b>Activity</b>	Paid entirely by National Tariffs	<input checked="" type="checkbox"/>
	Paid entirely by Local Tariffs	<input type="checkbox"/>
	Partially paid by National Tariffs	<input type="checkbox"/>
	Partially paid by Local Tariffs	<input type="checkbox"/>
	Part/fully paid under a Block arrangement	<input type="checkbox"/>
	Part/fully paid under Pass-Through arrangements	<input type="checkbox"/>
	Part/fully paid under Other arrangements	<input type="checkbox"/>

### C1.2 Drug Costs

Where not included in national or local tariffs, list each drug or combination, dosage, quantity, **list** price

Not applicable

<p>including VAT if applicable and any other key information e.g. Chemotherapy Regime. NB discounted prices or local prices must not be included as these are subject to commercial confidentiality and must not be disclosed.</p>															
<p><b>C1.3 Device Costs</b> Where not included in national or local tariff, list each element of the excluded device, quantity, <b>list or expected</b> price including VAT if applicable and any other key information. NB: Discounted prices or local prices must not be included as these are subject to commercial confidentiality and must not be disclosed.</p>	£3,295 per device exclusive of VAT														
<p><b>C1.4 Activity Costs covered by National Tariffs</b> List all the HRG codes, HRG descriptions, national tariffs (excluding MFF), volume and other key costs (e.g. specialist top up %)</p>	<table border="1" data-bbox="931 708 1839 959"> <thead> <tr> <th data-bbox="931 708 1648 751">Activity</th> <th data-bbox="1648 708 1839 751">Cost (£)</th> </tr> </thead> <tbody> <tr> <td data-bbox="931 751 1648 794">TBC</td> <td data-bbox="1648 751 1839 794">TBC</td> </tr> <tr> <td data-bbox="931 794 1648 837"></td> <td data-bbox="1648 794 1839 837"></td> </tr> <tr> <td data-bbox="931 837 1648 880"></td> <td data-bbox="1648 837 1839 880"></td> </tr> <tr> <td data-bbox="931 880 1648 924"></td> <td data-bbox="1648 880 1839 924"></td> </tr> <tr> <td data-bbox="931 924 1648 959"></td> <td data-bbox="1648 924 1839 959"></td> </tr> </tbody> </table>			Activity	Cost (£)	TBC	TBC								
Activity	Cost (£)														
TBC	TBC														
<p><b>C1.5 Activity Costs covered by Local Tariff</b> List all the HRGs (if applicable), HRG or local description, estimated average tariff, volume and any other key costs. Also indicate whether the Local Tariff(s) is/are newly proposed or established and if newly proposed how is has been derived, validated and tested.</p>	Not applicable														

<p><b>C1.6 Other Activity Costs not covered by National or Local Tariff</b> Include descriptions and estimates of all key costs.</p>	Not applicable
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<p>C1.7 Are there any prior approval mechanisms required either during implementation or permanently?</p>	<b>No</b>
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**C2 Average Cost per Patient**

<p>C2.1 What is the estimated cost per patient to NHS England, in years 1-5, including follow-up where required?</p> <p>Are there any changes expected in year 6-10 which would impact the model?</p>	YR1	£TBC	
	YR2	£TBC	
	YR3	£TBC	
	YR4	£TBC	
	YR5	£TBC	

**C3 Overall Cost Impact of this Policy to NHS England**

<p>C3.1 Specify the budget impact of the proposal on NHS England in relation to the relevant pathway.</p>	<b><u>Cost pressure</u></b>	
	YR1	£TBC
	YR2	£TBC
	YR3	£TBC

C3.2 If the budget impact on NHS England cannot be identified set out the reasons why this cannot be measured.	Not applicable
C3.3 If the activity is subject to a change of commissioning responsibility, from CCG to NHS England, has a methodology for the transfer of funds been identified, and calculated?	Not applicable
<b>C4 Overall cost impact of this policy to the NHS as a whole</b>	
C4.1 Specify the budget impact of the proposal on other parts of the NHS.	<p>Budget impact for CCGs:  <u><b>Cost saving</b></u>  <i>The potential savings for CCGs will come from a reduction in the number of stroke procedures necessary.</i>  Value £TBC</p> <p>Budget impact for providers:  <u><b>Cost neutral</b></u>  Please specify:</p>
C4.2 Taking into account responses to C3.1 and C4.1, specify the budget impact to the NHS as a whole.	<p><u><b>Cost pressure</b></u>  Please specify:  Value £TBC</p>

C4.3 Where the budget impact is unknown set out the reasons why this cannot be measured	
C4.4 Are there likely to be any costs or savings for non-NHS commissioners and/or public sector funders?	<p><b><u>Yes</u></b>  Please specify:  Social care and community care cost savings and disability benefits.</p>
<p><b>C5 Funding</b></p>	
C5.1 Where a cost pressure is indicated, state known source of funds for investment, where identified, e.g. decommissioning less clinically or cost-effective services.	The funding will be from within the CPAG Prioritisation reserve.
<p><b>C6 Financial Risks Associated with Implementing this Policy</b></p>	
C6.1 What are the material financial risks to implementing this policy?	There is a risk that the requirement for a building of capacity over time has been over estimated and that the anticipated numbers will present within a shorter timeframe i.e. within first 2 years.
C6.2 How can these risks be mitigated?	It is not clear how real this risk is as numbers in the CtE were less. However, the activity and finance modelling is based on best clinical knowledge of numbers of eligible patients and a consensus view, including that of the professional clinical body, of future impact. Additionally, by careful assessment and screening processes for patients and by the strict application of commissioning criteria to select patients who will benefit the most from the intervention. Inclusion of the PFO closure device in the national HCTED programme will enable device costs to be managed.

C6.3 What scenarios (differential assumptions) have been explicitly tested to generate best case, worst case and most likely total cost scenarios?	Click here to enter text.
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C6.4 What scenario has been approved and why?	Click here to enter text.
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**C7 Value for Money**

C7.1 What published evidence is available that the treatment is cost effective as evidenced in the evidence review?	<p>The ICERS reported by Tirschwell et al 2018 are well within the accepted £20,000 per QALY threshold at 10 and 20 years. However, it is possible that there remains some uncertainty about the cost-effectiveness of PFO closure compared with MTA due to the ambiguity of the UK subpopulation patient selection criteria, the lack of reported confidence intervals and the lack of other cost effectiveness outcomes including the cost per life year gained, the cost to prevent one recurrent stroke, or the time before the cost of medical therapy exceeds the cost of PFO closure. Further information will be provided by the CtE economic analysis</p> <p>Please specify: To be completed once the CtE Economic results have been published</p>
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C7.2 Has other data been identified through the service specification development relevant to the assessment of value for money?	<p><i>Select all that apply:</i></p> <table border="1"> <tr> <td data-bbox="931 991 1899 1082">Available pricing data suggests the treatment is equivalent cost compared to current/comparator treatment</td> <td data-bbox="1899 991 1975 1082"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="931 1082 1899 1173">Available pricing data suggests the treatment is lower cost compared to current/comparator treatment</td> <td data-bbox="1899 1082 1975 1173"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="931 1173 1899 1264">Available clinical practice data suggests the new treatment has the potential to improve value for money</td> <td data-bbox="1899 1173 1975 1264"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="931 1264 1899 1321">Other data has been identified</td> <td data-bbox="1899 1264 1975 1321"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="931 1321 1899 1378">No data has been identified</td> <td data-bbox="1899 1321 1975 1378"><input type="checkbox"/></td> </tr> </table>	Available pricing data suggests the treatment is equivalent cost compared to current/comparator treatment	<input type="checkbox"/>	Available pricing data suggests the treatment is lower cost compared to current/comparator treatment	<input type="checkbox"/>	Available clinical practice data suggests the new treatment has the potential to improve value for money	<input checked="" type="checkbox"/>	Other data has been identified	<input type="checkbox"/>	No data has been identified	<input type="checkbox"/>
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Other data has been identified	<input type="checkbox"/>										
No data has been identified	<input type="checkbox"/>										



	The data supports a high level of certainty about the impact on value	<input type="checkbox"/>	
	The data does not support a high level of certainty about the impact on value	<input type="checkbox"/>	
<b>C8 Cost Profile</b>			
C8.1 Are there non-recurrent capital or revenue costs associated with this policy?	<b><u>No</u></b>		
C8.2 If yes, confirm the source of funds to meet these costs.	Not applicable		